

## Cite this article

Martani C, Adey BT, Robles I *et al.* (2021)  
Estimating the resilience of, and targets for, a transport system using expert opinion.  
*Infrastructure Asset Management* 8(4): 191–208,  
<https://doi.org/10.1680/jinam.20.00029>

## Research Article

**Paper 2000029**  
Received 27/10/2020; Accepted 12/03/2021  
Published online 04/05/2021  
Published with permission by the ICE under the  
CC-BY 4.0 license.  
(<http://creativecommons.org/licenses/by/4.0/>)

**Keywords:** hazards/risk & probability  
analysis/transport management

# Estimating the resilience of, and targets for, a transport system using expert opinion

**Claudio Martani** PhD

Research Associate, Institute for Construction and Infrastructure Management, ETH Zürich, Zurich, Switzerland (Orcid:0000-0002-9039-0908) (corresponding author: [martani@ibi.baug.ethz.ch](mailto:martani@ibi.baug.ethz.ch))

**Bryan T. Adey** PhD

Professor, Institute for Construction and Infrastructure Management, ETH Zürich, Zurich, Switzerland

**Ignacio Robles** MSc

Engineering Consultant in Planning & Environment, WSP Spain, Santander, Spain

**Federico di Gennaro** MSc

Head of Strategic Projects, Technical and International Affairs Division, Aiscat Servizi, Rome, Italy

**Livia Pardi** MSc

Professional Master Maintenance Engineering/Infrastructure Technical Support, Autostrade per l'Italia, Rome, Italy

**Iñaki Beltran-Hernando** MSc

Project Manager, Tecnalia, Basque Research and Technology Alliance, Derio, Spain

**Concepcion Toribio-Diaz** MSc

Researcher, Research & Development Division, Transport Infrastructure & Materials Area, Cemosá, Málaga, Spain

**Noemi Jimenez Redondo** PhD

Director, Research & Innovation, Cemosá, Málaga, Spain

**Adrián Antonio Moli Díaz** MSc

Researcher, Research & Development Division, Transport Infrastructure & Materials Area, Cemosá, Málaga, Spain

To ensure that transport infrastructure provides acceptable levels of service with respect to extreme events, the resilience of the infrastructure needs to be estimated and targets for it need to be set. Recent work in the European research project Future Proofing Strategies for Resilient Transport Networks against Extreme Events (Foresee) has shown how this can be done in situations with a wide range of available data, time frames for the estimation and expertise. This paper provides an example of how an infrastructure manager can use the guideline to estimate the resilience of, and set resilience targets for, an example transport system in a relatively short period of time, even in the case of limited expertise in all the relevant areas and limited knowledge and information on all the basic input variables. The example is fictive but realistic. It is based on a transport system consisting of a section of the A16 highway, in Italy, where a potential landslide could discharge enough material to damage road sections and bridges. The resilience is estimated using resilience indicators with differentiated weights, and the resilience targets are set using cost-benefit analysis, to identify the indicators to be improved first.

## Introduction

The functioning of society depends on the transportation of goods and persons. The infrastructure required to enable transportation is built to ensure that this can happen in specified ways – that is, built to provide the specified levels of service. As reductions in service due to natural hazards – for example, floods, earthquakes and heavy snowfalls – can have significant societal consequences, transport infrastructure managers have the mandate to minimise this risk – that is, the probability of having consequences if a natural hazard occurs multiplied by the consequences if it occurs.

In order to do so, however, it is necessary for transport infrastructure managers to, (a) on the one side, have a clear idea of the service that the infrastructure is providing and an understanding of its resilience, if it is affected by natural hazards, and, (b) on the other, to understand how the resilience of a network can be modified to counteract the loss of service following a hazard and to provide the specified levels of service during and following the occurrence of extreme events – that is, to set resilience targets.

A methodology to measure (i.e. to assess the importance, effect or value of (something)) the resilience of a transport infrastructure (transport infrastructure is considered to be all infrastructure for enabling travel e.g. road infrastructure and rail infrastructure or

combinations of both) with respect to a defined service and set resilience targets has been proposed in the European research project Foresee – Future Proofing Strategies for Resilient Transport Networks against Extreme Events (Adey *et al.*, 2021).

Adey *et al.* (2021) define service as the ability to perform an activity in a certain way. This definition can be operationalised, for example, as the ability to transport from A to B the required goods and persons within a specific amount of time without the goods being damaged and without the persons being hurt or losing their lives. They define resilience as the ability to continue to provide service if a hazard event occurs. Resilience, with this definition, is measured using each measure of service deemed relevant, in order to assess how service is being affected, and the cost of the interventions required to ensure that the infrastructure once again provides an adequate service. When considering natural hazards, resilience is therefore measured as the difference between (a) the service provided by the infrastructure if no hazard event occurs and the service provided by the infrastructure if a hazard event occurs and (b) the costs of intervention if no hazard event occurs and the costs of interventions if a hazard event occurs.

Adey *et al.* (2021) consider it possible to set targets on the maximum decrease in service/increase in intervention costs from the beginning to the end of the hazard event, the service restoration

time, the shape of the restoration curve and the total reduction in service/increase in intervention costs. The targets can be set simply using the opinions of experts or using cost–benefit analysis.

This paper, meant as a companion paper to the paper by Adey *et al.* (2021), demonstrates how the guidelines presented methodologically by Adey *et al.* (2021) are to be used in practice. This is done using a fictive but realistic example transport system based on the A16 highway, in Italy, which could be exposed to hazards causing severe landslides. Given the nature of this contribution as a supplementary evidence for the paper by Adey *et al.* (2021), it has been considered redundant to repeat the same background and position of its companion paper. The remainder of the paper is then organised as follows. The section headed ‘Situation’ contains a description of the hypothetical case study situation. The section headed ‘Transport system’ contains the definition of the transport system. The sections headed ‘Measures of service’, ‘Resilience indicators’ and ‘Resilience’ contain explanations as to how service and resilience are measured. The section headed ‘Targets’ contains an explanation as to how the resilience indicator targets are set. The section headed ‘Conclusion’ contains the conclusions.

## Situation

The example is developed using a section of the highway A16. The Autostrada A16 is a highway connecting Naples to Canosa, before merging with the A14 (Figure 1). The road is also known as Autostrada dei Due Mari (Motorway of the Two Seas) because it connects Naples, on the Tyrrhenian coast, with Candela, on the Adriatic coast, playing a strategic role for the connectivity of the country.

The highway passes through areas of a high-geomorphological-hazard zone, which renders it subject to landslides of medium to severe intensity. It is considered, for the purpose of the paper, to focus on the 30.1 km section connecting Grottaminarda and Lacedonia. Moreover, it is assumed that the infrastructure manager has registered the hazard events that occurred in the past and has realised from the records that the potential event that is associated with the most severe consequences is a landslide of a magnitude of

up to 19.3 kN/m, which occurs at a frequency of 1/20 years. (It is to be noticed that both the intensity and the frequency of the event here considered are invented by the authors in order to define a precise hazard, against which resilience is measured. As such, the event is fictive and does not reflect the real situation of the highway.)

In light of the importance of such an event, the infrastructure manager wishes to estimate the resilience of the transport system for the interested section with respect to a landslide of this magnitude and set resilience targets to balance optimally the cost of preventive interventions and increasing resilience. The three measures of service to be used are the travel time, safety and the socio-economic impact of people and goods not being able to travel. The infrastructure manager, aside from the many different activities carried out to provide the required service, is assumed to take care of surveillance and maintenance of the infrastructure, as well as the planning and exercise of the emergency plans in case that a hazard occurs.

According to Adey *et al.* (2021), for this paper, it is considered that the infrastructure manager has decided to (a) estimate the resilience of the transport infrastructure using indicators with differentiated weights and (b) set resilience indicator targets with cost–benefit analysis. The decisions are motivated by the following facts.

- Given the dimension of the infrastructure and the complexity of the service considered, it would be computationally too intense to estimate the resilience using simulations.
- Using indicators, the infrastructure manager wishes to estimate the resilience with the highest possible accuracy; therefore, effort will be made to use differentiated weights – that is, an individual weight will be defined for each indicator to express the impact that each indicator has on each service considered.
- The infrastructure manager wants to set the targets based on a general idea of what might be the optimal balance between costs and benefits.

## Transport system

Before taking into account the service provided by, and the resilience of, the transport infrastructure is measured and the

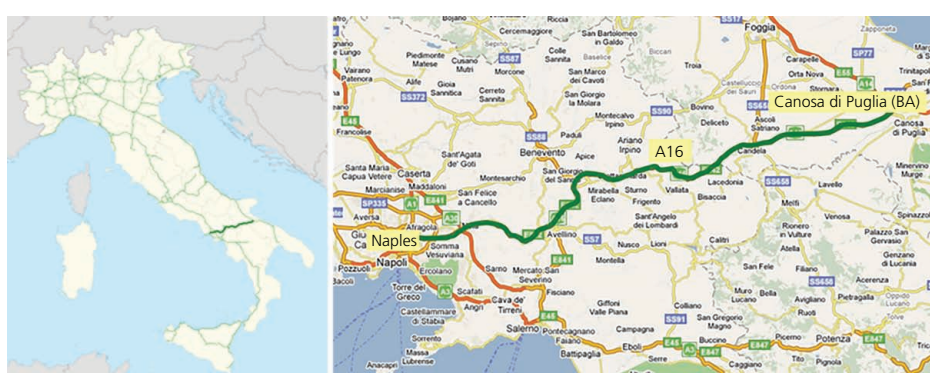


Figure 1. Diagram of location and development of the A16 highway. BA, Bari. Source: Wikipedia (2021)

targets are set, it is necessary to define the parts of the transport system to be considered. The transport system is considered to have three main components – namely

- the infrastructure – that is, the physical assets that are required to provide the service
- the environment – that is, the physical environment in which the infrastructure is embedded that might affect the provision of service and the organisational environment in which the infrastructure management organisation is embedded that might affect the provision of service
- the organisation – that is, the organisation(s) responsible for ensuring that the infrastructure provides service.

### Infrastructure

The A16 has a total length of 172 300 km, which mainly consists of double-lane road sections, which are predominately on the ground but occasionally, due to the conformation of the valley, on viaducts and in tunnels. The portion of the A16 analysed in this work is the section connecting Grottaminarda and Lacedonia. The main physical characteristics of the transport infrastructure are listed in Table 1.

The infrastructure – that is, the road sections, viaducts and tunnels – is characterised by some features that influence positively and some that influence negatively the resilience of the transport system. Some features are assumed that positively contribute to resilience include the following.

- The infrastructure is on average in very good condition as well as the slopes around it, which have been designed to comply with the slope stability design code.
- The highway is equipped with warning systems both fixed (road signs) and dynamic (digital signs) used to warn drivers of the presence of landslides, which are in relatively good condition, and of protective structures – that is, barriers to prevent landslides from hitting the road.
- There are existing ways to deviate vehicles, as well as the possibility of using another means of transport, to satisfy transport demand, in case that the traffic on the highway is interrupted – that is, as an alternative to the A16.
- In case that a landslide occurs, there are emergency measures to help evacuate people trapped on bridges and tunnels.

To influence resilience negatively, some features are assumed as follows.

**Table 1.** Proposed infrastructure characteristics (the data are invented by the authors and do not reflect the actual situation of the infrastructure)

Input: unit	Symbol	Value
Length of the infrastructure: m	$L_i$	30 100
Average width of the infrastructure: m	Width	21
Average height of the infrastructure: m	Height	0–3
Average condition of the infrastructure	Cs	CS2 – very good

- Despite its very good condition, the infrastructure is not designed to withstand all landslide events without consequences. It is, indeed, expected that following the reference landslide, both the infrastructure and the protection barriers will be out of service and in need of rehabilitation.
- There are currently neither alert systems – that is, systems able to detect signals of landslides through environmental monitoring – nor safe shutdown systems – that is, systems able to trigger an immediate blockage of road as soon as a landslide starts.
- In the most part of the chosen section, there are no possibilities to build any nearby temporary alternative route for vehicles in case that a landslide damages the highway.

### Environment

The A16 covers a diversified set environmental conditions that range from a flatter landscape at the two ends and a green hilly – and even mountainous – one in the central part. The soil along the highway is mainly characterised by a clay–sand component (low permeability), with rare calcareous or lithoid intercalations. In 2005, the section crossing Lacedonia – next to Avellino – was hit by a landslide that moved the road embankment at km 122.5, forcing the closure of the road for several days. During those days, traffic was diverted in Grottaminarda.

It is assumed that a landslide of the reference magnitude has occurred in the past with a frequency of approximately 1/20 years, and it is considered plausible that (a) it will have a similar frequency in the future and (b) that it may affect other sections of the highway. The risk on traffic and on the safety due to these events is not negligible, as there is a relatively large traffic flow on the highway. The main physical and traffic characteristics of the environment are listed in Table 2.

### Organisation

The route is managed by an infrastructure manager who, among the many different activities carried out to provide the service required, takes care of surveillance and maintenance of the infrastructure. The activities performed by the infrastructure manager include conducting periodic monitoring of the condition states, executing maintenance when required, ensuring the functioning of emergency plans to react to hazard events and, when needed, preparing and managing tendering procedures for the extraordinary interventions – for example, after the event, the section has been completely rebuilt with a double-curved variant, due to the difficulty in restoring the damaged viaduct. The main physical characteristics of the organisation are listed in Table 3.

### Measures of service

The service provided by the transport system is measured as the ability of road users to travel from Grottaminarda to Lacedonia on the A16 highway within a specific amount of time (travel time) and without having their property damaged or being hurt or losing their lives (safety) and the inhabitants of the area to be able to ship and have shipped goods on the highway (socio-economic activities).

**Table 2.** Proposed environment characteristics (the data are invented by the authors and do not reflect the actual situation of the infrastructure)

Type	Input	Symbol	Landslide [L]
Physical	Landslide severity: m/s	Ls	20
	Landslide frequency	Lf	1/20 years
	Soil type	Soil	Clay and sand
	Expected amount of material to hit the infrastructure: m <sup>2</sup>	Eam	700
	Expected force with which it will hit the infrastructure – dry and saturated: kN/m <sup>3</sup>	Efm	15.3–19.3
Traffic	Speed limit (average among weather conditions): km/h	S <sub>l</sub>	120
	Number of people travelling per day	P	5000
	Number of people travelling for work in a day	P <sub>w</sub>	3000
	Number of people travelling for leisure in a day	P <sub>l</sub>	2000
	Amount of goods travelling per day: trucks	G	1000
	Vehicle transporting dangerous goods: % of the total trucks	TRdg	5

**Table 3.** Proposed organisation characteristics (the data are invented by the authors and do not reflect the actual situation of the infrastructure)

Input	Symbol	Value
Annual cost of regular maintenance: €/m	C <sub>m</sub>	0.06
Days to recover in case of the reference landslide	D	9
Cost of intervention after the reference landslide: €/m	C <sub>i</sub>	400
Restoration plans	—	Existing
Average time required for the submission of tenders to repair damaged infrastructure <sup>a</sup>	T <sub>t</sub>	1 year

<sup>a</sup> The time to tender refers to the required time for selecting the tender to undergo major interventions that cannot be held by the infrastructure manager himself (e.g. the reconstruction of a bridge). It is to be noticed that this does not refer to the time that the infrastructure is out of service, which is instead given by the parameter D

**Table 4.** Measure of the service provided in 1 year assuming that there is no landslide

Type of service	Measure	Annual estimate: ×10 <sup>3</sup> €	Estimated as
Travel time (S <sub>tt</sub> )	Travel time for all the people travelling on the viaduct	18 128	$[(\frac{L_i}{S_l}) \times 60] \times [(P_w C_{wt}) + (P_l C_{lt})] \times 365$
Safety (S <sub>s</sub> )	Cost of repairing damaged property and the number of injuries and deaths due to people travelling on the viaduct	941 244	$\left\{ \left[ \left( \frac{P d p_0}{100} \right) \times P (P D p_0 \times 1000) \right] + \left[ \left( \frac{P i_0}{100} \right) \times P (I p \times 1000) \right] + \left[ \left( \frac{P d_0}{100} \right) \times P (D p \times 1000) \right] \right\} \times 365$
Socio-economic activities (S <sub>se</sub> )	Socio-economic activity facilitated by persons and goods travelling	5475	$\{[(P \times D p u d_0 \times S E C_p) + (G \times D p u d_0 \times S E C_g)] \times 365\}$
Total		964 848	(S <sub>tt</sub> + S <sub>s</sub> + S <sub>sc</sub> )

The service provided by the infrastructure (in the absence of any landslide) is measured as shown in Table 4, where in the last column it is shown how the annual service is estimated, using inputs on the infrastructure, environment and organisation (Tables 1–4) and the variables affecting the service (Table 5). Table 4 should be read as follows: the measure of travel time (€18 127 725) is estimated as the amount of minutes that a vehicle spends on average on the road, which is computed as the ratio of the length of the infrastructure in kilometres ( $L_i = 30\,100/1000$ ) to the speed limit ( $S_l = 120$  km/h) and converted into minutes (i.e. multiplied by 60 min/h), multiplied by the cost of that time for the users in 1 year, estimated as the sum of the average number of people travelling for work in a day ( $P_w = 3000$ ) for the cost of work time ( $C_{wt} = €0.9/\text{min}$ ) and the average number of people travelling for leisure in a day ( $P_l = 2000$ ) for the cost of leisure

time ( $C_{lt} = €0.3/\text{min}$ ), for 365 days. This number is used as reference number to measure deviations that are caused by the reference landslide. It is not a measure of the value of the road. The formulas for estimating the costs for safety and socio-economic activities reported in Table 4 follow a similar logic. In total, the measures of service have a value of €964.8 million.

## Resilience indicators

The infrastructure manager determined that there were 42 relevant indicators for the example transport system and defined their possible ranges of values (Tables 6–8). The indicators were selected to give an indication of the difference between the intervention costs and the service provided if no landslides occur and if the reference landslide occurs, from the start of the landslide to the time when service is again provided at the level it



**Table 5.** Assumed values of variables used to measure service (the data are invented by the authors and do not reflect the actual situation of the infrastructure)

Variable	Symbol	Value
Daily injury probability assuming no landslide: %	$P_{i0}$	0.15
Daily death probability assuming no landslide: %	$P_{d0}$	0.01
Daily property damage probability assuming no landslide: %	$P_{dp0}$	0.15
Delay per unit (person or truck) per day assuming no landslide: min/unit	$D_{pud0}$	6
Property damage per person in case of no accident: $\times 10^3$ €/person	$PD_{p0}$	0.5
Socio-economic costs per person – that is, the cost of 1 min delay of one passenger to the wider society: (€/min)/person	$SEC_p$	0.1
Socio-economic costs for goods – that is, the cost of 1 min delay of one truck to the wider society: (€/min)/person	$SEC_g$	2
Impact of injuries per person: $\times 10^3$ €/person	$I_p$	10
Impact of death per person: $\times 10^3$ €/person	$D_p$	5000
Cost of work time: €/min	$C_{wt}$	0.9
Cost of leisure time: €/min	$C_{lt}$	0.3

was before the landslide. The indicators were grouped at the highest level as infrastructure, environment or organisation indicators.

Infrastructure indicators (Table 6) are considered those related to the physical man-made parts of the transport system. They consisted of condition state, protective measure and preventive measure indicators. Protective measure indicators pertained to how well the physical man-made parts of the transport system could protect the infrastructure providing the service. Preventive measure indicators pertained to how well the physical man-made parts of the transport system could withstand the reference hazard. Condition indicators pertained to how well the physical man-made parts of the transport system could provide the service it was originally designed to provide.

Environment indicators (Table 7) were those related to the physical natural parts and the non-physical man-made parts of the transport system. An example of the former is exposure to hazards. An example of the latter would be the available budget.

Organisation indicators (Table 8) are those related to non-physical man-made parts of the transport system – that is, the activities of the organisation managing the infrastructure. They consisted of pre-event and post-event activity indicators, whereas pre-event and post-event referred to the start of the landslide.

The values of all indicators were taken as averages for the entire 30 km road section and were thought of only in general terms (Tables 6–8). For example, the condition of the infrastructure was expressed as an average of the condition states of all objects that comprised the A16. If desired, the condition state of each category of objects (e.g. road sections, bridges and tunnels) could be treated separately. For example, if the age of the warning

system (1.3.1) along the A16 highway is on average 10 years and its expected lifetime is 25 years, the indicator value is 2. The relevancy check was used to identify if the intervention costs and each measure of service were affected by variation in the values of each indicator. For example, the presence of an emergency plan has no effect on the safety measure of service, but it has on the travel time measure of service.

## Resilience

### Estimation

The measures of resilience used were the cumulative differences in interventions costs and the reductions in service if each indicator had its worst and current values. This was determined by first estimating the maximum restoration intervention costs and reductions in service (Table 9) considering the transport system characteristics (Tables 1–3), and the additional assumptions listed in Table 10, and then the expected intervention costs and reductions in measures of service if each indicator had the worst possible value (Table 11). An example of the former is the maximum reduction in the travel time for work measure of service (€2.4 million), which is estimated by multiplying the number of workers travelling per day (3000) by the average delay per person per day (100 min) by the cost of working time (€0.9/min) by the average number of days in which the traffic is delayed due to the restoration interventions (9). An example of the latter is that the value of the safety measure of service between the age of the warning system indicator (1.3.1) having its worst value is €14.6 million, which is 26% of the maximum expected reductions in safety if all indicators have their worst possible values – that is, €54 million. The total measure of resilience is €70 million. The age of the warning system is expected to have no effect on the restoration intervention costs or on the travel time measure of service.

### Measures of resilience per indicator

The measures of resilience per indicator were computed as the expected intervention costs and reductions in the measures of service taking into consideration the value of the indicator (Tables 6–8 and 11). They are shown in Figures 2–4 for all indicators. The exact numbers are shown for a subset of these in Table 12 in terms of the maximum possible value, the actual expected value and the difference between the two. The figures show, for example, that the measures of resilience of the condition of the infrastructure (1.3.2) in terms of intervention costs and the travel time, safety and socio-economic measures of services using the worst indicator value (0/5) – that is the max measures – are €12, €3, €54 and €1.3 million and using the actual indicator value (4/5) are €2.4, €0.6, €10.8 and €0.25 million. The former of these values mean that if the condition of the infrastructure indicator had its worst possible values, the consequences of the reference landslide would be €12 million in restoration interventions, €3 million in additional travel time, €54 million in terms of injuries and fatalities and €1.3 million for the regional economy. The latter of these values mean that in the actual situation, the

Table 6. Proposed infrastructure resilience indicators

Type	ID	Indicator	Possible values (the current values are underlined)
Protective measure	1.1.1	<u>The possibility of building a temporary alternative route for vehicles</u> reduces the consequences on infrastructure users	0 – no alternative path; 1 – one alternative path; 2 – multiple alternative paths
	1.1.2	<u>The possibility of using another means to satisfy transport demand</u> reduces the consequences of an infrastructure being out of service	0 – no alternative means; <u>1 – one alternative means</u> ; 2 – multiple alternative means
	1.1.3	<u>The number of possible existing alternative ways to deviate vehicles</u> reduces the consequences of an infrastructure being out of service	0 – no alternative ways; <u>1 – one alternative way</u> ; 2 – multiple alternative ways
	1.1.4	<u>The presence of a warning system</u> allows users to bypass a road section in case of danger, which reduces the consequences of a landslide	0 – no warning systems; 1 – one warning system; <u>2 – multiple warning systems</u>
	1.1.5	<u>The presence of a safe shutdown system</u> to prevent users from using a damaged road section reduces the consequences of a landslide	<u>0 – no safe shutdown system</u> ; 1 – one safe shutdown system
	1.1.6	<u>The presence of emergency/evacuation paths</u> allows users to escape in case of danger, which reduces the consequence of a landslide	0 – no emergency path; <u>1 – one emergency path</u> ; <u>2 – multiple emergency paths</u>
	1.1.7	<u>The presence of special measures to help evacuate persons</u> (e.g. helicopter) allows users to escape in case of danger, reducing the consequence of a landslide	<u>0 – no extraordinary measures</u> ; 1 – one extraordinary measure; 2 – multiple extraordinary measures
Preventive measure	1.2.1	<u>Compliance with the current slope stability design code</u> increases the likelihood that no landslide will occur and, if it does, decreases the extent of the landslide	0 – below current regulation – for example, designed according to an older design; 1 – according to current regulation; 2 – above current regulation
	1.2.2	<u>The presence of protection barriers</u> prevents the infrastructure from being hit	0 – no protection; <u>1 – protection</u>
	1.2.3	<u>The adequacy of protection barriers</u> (e.g. adequately dimensioned and located) prevents the road section from being hit by a landslide	0 – not adequate; <u>1 – adequate</u>
Condition	1.3.1	<u>The age/age of replacement of the warning system</u> affects the probability of accidents due to a lack of signalling in case of a landslide	0 – >80% of min. service life achieved; 1 – >50 and <80% of min. service life achieved; <u>2 – &gt;20 and &lt;50% of min. service life achieved</u> ; 3 – <20% of min. service life achieved
	1.3.2	<u>The condition of the infrastructure</u> providing service affects the probability of the infrastructure being damaged in a landslide	0 – highly likely to collapse; 1 – no information is available; 2 – moderately likely to collapse; 3 – unlikely to collapse; <u>4 – very unlikely to collapse</u> ; 5 – extremely unlikely to collapse
	1.3.3	<u>The condition of protection barriers</u> affects the probability that they can provide the level of service for which they were designed during and following the occurrence of a landslide and the harder to repair them if damaged in a landslide	0 – highly likely to collapse; 1 – no information is available; <u>2 – moderately likely to collapse</u> ; 3 – unlikely to collapse; 4 – very unlikely to collapse; 5 – extremely unlikely to collapse
	1.3.4	<u>The condition of the assistance alert systems</u> affects the probability that it can provide the level of service for which it was designed during and following the occurrence of a landslide and the harder to repair it if damaged in a landslide	0 – highly likely to collapse under normal traffic loads; 1 – no information is available; <u>2 – moderately likely to collapse under normal traffic loads</u> ; 3 – unlikely to collapse under normal traffic loads; 4 – very unlikely to collapse under normal traffic loads; 5 – extremely unlikely to collapse
	1.3.5	<u>The expected condition of infrastructure</u> providing service after a landslide affects its ease of repair	0 – collapsed, requires rebuilding; <u>1 – out of service, requires repair/rebuilding</u> ; 2 – in service but repairs are necessary; 3 – in service and no repairs necessary
	1.3.6	<u>The expected condition of the protective barriers</u> after a landslide affects the likelihood that they will not function as intended after a landslide	0 – collapsed, requires rebuilding; 1 – out of service, requires repair/rebuilding; <u>2 – in service but repairs are necessary</u> ; 3 – in service and no repairs necessary
	1.3.7	<u>The expected condition of assistance alert systems</u> after a landslide affects the likelihood that they will not function as intended after a landslide	0 – out of service, requires repair/rebuilding; 1 – in service but repairs are necessary; <u>2 – in service and no repairs necessary</u>

consequences of the reference landslide would be €2.4 million in restoration interventions, €0.6 million in additional travel time, €10.8 million in terms of injuries and fatalities and €0.25 million for the regional economy. The maximum and actual values of the measures of resilience of the condition indicator in terms of the

intervention costs and all measures of service are €269.6 and €120.2 million, respectively.

Estimating the measures of resilience for intervention costs and each measure of service in this manner provides an infrastructure

Table 7. Proposed environment resilience indicators

Type	ID	Indicator	Possible values (the current values are underlined)
Physical	2.1.1	The height of the infrastructure providing service affects the consequences of an accident	0 – >3 m; <u>1 – &lt;3 m</u> ; 2 – at the same level
	2.1.2	The accessibility of the infrastructure affects the ability and time required to restore it	0 – accessible with telescopic crane; 1 – accessible with truck mounted crane; <u>2 – accessible with steps</u> ; 3 – accessible without equipment
	2.1.3	The presence of persons/property below the infrastructure affects the consequences if a landslide occurs	0 – yes; <u>1 – no</u>
	2.1.4	The extent of past damages due to landslides indicates the likelihood of future damages	0 – collapse; <u>1 – serious damage</u> ; 2 – minor damage; 3 – aesthetic damages
	2.1.5	The hazard zone affects the likelihood of future landslides	0 – high; <u>1 – medium</u> ; 2 – low
	2.1.6	The frequency of past landslides affects the likelihood of future landslides	0 – location in a <1-year landslide zone; 1 – location in a >1- and <5-year landslide zone; <u>2 – location in a &gt;5- and &lt;15-year landslide zone</u> ; 3 – location in a >15-year landslide zone
	2.1.7	The severity of past landslides affects the probability of restoration interventions/service interruptions	0 – collapse; <u>1 – serious damage</u> ; 2 – minor damage; 3 – aesthetic damages
	2.1.8	The expected frequency of future landslides affects the probability of restoration interventions/service interruptions	0 – location in a <1-year landslide zone; 1 – location in a >1- and <5-year landslide zone; <u>2 – location in a &gt;5- and &lt;15-year landslide zone</u> ; 3 – location in a >15-year landslide zone
	2.1.9	The expected severity of future landslides affects the probability of restoration interventions/service interruptions	0 – strong increase; 1 – soft increase; <u>2 – soft decrease</u> ; 3 – strong decrease
	2.1.10	The land type affects the likelihood of future landslides and the probability of restoration interventions/service interruptions	0 – rock mass; 1 – clayey; <u>2 – loose rocks</u> ; 3 – sandy
	2.1.11	The terrain type affects the likelihood of future landslides and the probability of restoration interventions/service interruptions	0 – rugged; <u>1 – hilly</u> ; 2 – flat
	2.1.12	The extent of vegetation affects the likelihood of future landslides and the probability of restoration interventions/service interruptions	0 – limited; <u>1 – light</u> ; 2 – middle; 3 – dense
	2.1.13	The amount of traffic affects the consequences of a landslide	0 – >80% of capacity; 1 – >50 and <80% of capacity; <u>2 – &gt;20 and &lt;50% of capacity</u> ; 3 – <20% of capacity
	2.1.14	The amount of hazardous goods traffic affects the consequences of an accident	0 – frequent dangerous goods; 1 – rare dangerous goods; <u>2 – no dangerous goods</u>
	2.1.15	The amount of flammable goods traffic affects the consequences of an accident	0 – yes; <u>1 – no</u>
Non-physical	2.2.1	The budget availability affects the likelihood that speed of restoration	0 – enough for <50% of the interventions; 1 – enough for >50 and <100% of the interventions; <u>2 – enough for &gt;100% of the interventions</u>

manager with an idea of which of these is the most problematic and where to focus efforts on improving resilience.

It can be seen from the measures of resilience shown in this section, for example, that the safety measure of service is significantly more important than intervention costs and the travel time and socio-economic measures of service. The safety measure of service accounts for 93% of the measure of resilience for the indicators frequency of future hazards (2.1.8) and severity of future hazards (2.1.9) and 100% for the height of the infrastructure indicator (2.1.1). It can also be seen that the largest potential for improvement is by improving the value of the expected condition state of infrastructure indicator (1.3.5), which would result in an improvement of the measure of resilience by €46 million.

### Measures of resilience per indicator category

The measures of resilience per indicator category are shown in Figures 5 and 6. A measure of resilience for an indicator category is the ratio between the sum of the actual values and the sum of the highest possible values of all indicators in the category multiplied by the average of the values of their individual measures of resilience. For example, the measure of resilience of indicator category 1.3, ‘condition’, with respect to intervention costs was given by the sum of the actual values of indicators 1.3.1 to 1.3.7 (i.e. 15) (Table 12) divided by the sum of their highest possible values (i.e. 26) multiplied by the average of the expected intervention costs due to indicators 1.3.1 to 1.3.7 (i.e. €2.8 million). The measure of resilience for indicator category 1.3 with respect to intervention costs and all measures of services was €1.6 million.

Table 8. Proposed organisation resilience indicators

Type	ID	Indicator	Possible values (the current values are underlined)
Pre-event activities	3.1.1	The <u>presence of a monitoring strategy</u> raises the awareness of the state of the road and is likely to increase preparedness to react when necessary	0 – no condition monitoring; <u>1 – periodic condition monitoring</u> ; 2 – constant condition monitoring
	3.1.2	The <u>presence of a maintenance strategy</u> increases the likelihood that the infrastructure will be in a condition to resist a landslide	0 – no intervention strategy; <u>1 – only responsive interventions conducted</u> ; 2 – preventive interventions strategies is conducted
	3.1.3	The <u>extent of interventions executed prior to the landslide</u> affects the likelihood that the infrastructure will be in a condition to resist a landslide	0 – <50% of the benchmark budget; <u>1 – &gt;50 and &lt;80% of the benchmark budget</u> ; 2 – >80% of the benchmark budget
Post-event activities	3.2.1	The <u>presence of an emergency plan</u> reduces the time between the occurrence of a landslide and the moment that a manager reacts	0 – no plan; <u>1 – generic plan</u> ; 2 – operative plan (with tasks, resources etc.)
	3.2.2	The <u>practicing of the emergency plan</u> affects the ability of the manager to use it when needed, reducing the time for execution	0 – no exercise; 1 – one exercise every >2 years; <u>2 – one exercise every 2 years</u> ; 3 – one exercise every year; 4 – one exercise every 6 months
	3.2.3	The <u>time since the last review/update of the emergency plan</u> affects the likelihood that it will be fit for purpose	0 – >5 years ago; <u>1 – &lt;2 years ago</u> ; 2 – <5 years ago
	3.2.4	The <u>expected time for tendering</u> affects the time required to restore service	0 – >1 year; 1 – >8 months and <1 year; <u>2 – &gt;4 and &lt;8 months</u> ; 3 – <4 months
	3.2.5	The <u>expected time for demolition of damaged infrastructure</u> affects the time required to restore service	0 – >1 year; 1 – >8 months and <1 year; 2 – >4 and <8 months; <u>3 – &lt;4 months</u>
	3.2.6	The <u>expected time for construction</u> affects the time required to restore service	0 – >1.5 year; 1 – >1 and <1.5 year; <u>2 – &gt;6 months and &lt;1 year</u> ; 3 – <6 months

Table 9. Maximum expected restoration intervention costs and reductions in service

Intervention costs/ measure of service	Description	Costs: $\times 10^3$ €		
		Estimate	Equation	Estimate
Intervention costs ( $I_i$ )	The impact of executing restoration interventions	12 040	$(C_i \times L_i)$	12 040
Travel time ( $I_{tt}$ )	The impact of travel condition in terms of time lost and the impact of travel condition on the vehicle cost for work and leisure	<b>2430</b>	$(P_w \times Dpud \times C_{wt} \times D)$	2970
		540	$(P_w \times Dpud \times C_{it} \times D)$	
Safety ( $I_s$ )	The impact due to the user being involved in an accident divided by property damage, injury and deaths	3000	$[(\frac{Ppd}{100}) \times PDp \times P]$	<b>54 000</b>
		1000	$[(\frac{Ppd}{100}) \times Ip \times P]$	
Socio-economic activities ( $I_{se}$ )	The impact of people and goods not being able to travel	50 000	$[(\frac{Ppd}{100}) \times Dpp \times P]$	1260
		450	$(P \times Dpud \times D \times SECp)$	
		810	$(G \times Dpud \times D \times SECg)$	
Total		70 270	$(I_i + I_{tt} + I_s + I_{se})$	<b>70 270</b>

Bold values are the example values discussed and explained as examples in the text from line 12 to line 30 at p. 5

Table 10. Assumptions required to estimate how service would be affected by the reference landslide (the data are invented by the authors and do not reflect the actual situation of the infrastructure)

Variable	Symbol	Value
Delay per unit (person or truck) per day after the reference landslide: min/unit	Dpud	100
Injury probability given occurrence of the reference landslide: %	Pi	2
Death probability given occurrence of the reference landslide: %	Pd	0.2
Property damage probability given occurrence of the reference landslide: %	Ppd	30
Property damage per person in case of accident: $\times 10^3$ €/person	PDp	2

It can be seen from Figure 5 that there is the most potential to improve resilience by improving the values of the condition state of the infrastructure indicators, the pre-event activity indicators and the physical environment indicators, which have measures of resilience of €9.9, €8.3 and €5.8 million, respectively, and that improvements to their values would have the largest impact on the safety measure of service, followed by intervention costs, with very little of the resilience related to travel time or socio-economic impact. Figure 6 shows that the environment indicators are the largest contributor to resilience, with a value of €5.6, compared with €4.34 and €4.3 million for the organisation and infrastructure indicators. It has to be kept in mind that these values do not, of course, say anything about the ease with which



**Table 11.** Expected intervention costs and reductions in measures of service if each indicator had worst possible value

Indicator	Costs and reductions in service: $\times 10^3$ €					Weight total: <sup>a</sup> %
	Inter. costs	Measures of service			Total	
		Travel time	Safety	Socio-econ.		
1.1.1 – the possibility of building a temporary alternative route for vehicles	—	1931	—	819	2750	65
1.1.2 – the possibility of using another means to satisfy transport demand	—	2079	—	882	2961	70
1.1.3 – the number of possible existing alternative ways to deviate vehicles	—	1149	—	488	1637	39
1.1.4 – the presence of a warning system	—	2138	—	907	3046	72
1.1.5 – the presence of a safe shutdown system	—	1961	—	832	2792	66
1.1.6 – the presence of emergency/evacuation paths	—	1040	—	441	1481	35
1.1.7 – the presence of special measures to help evacuate persons	—	802	—	340	1142	27
1.2.1 – compliance with the current slope stability design code	8910	2198	39 960	932	52 000	74
1.2.2 – the presence of protection barriers	10 118	2496	45 381	1059	59 054	84
1.2.3 – the adequacy of protection barriers	7465	1841	33 480	781	43 567	62
1.3.1 – the age/age of replacement of the warning system	—	—	14 273	333	14 606	26
1.3.2 – the condition of the infrastructure providing service	12 040	2970	54 000	1260	70 270	100
1.3.3 – the condition of protection barriers	9391	2317	42 120	983	54 811	78
1.3.4 – the condition of the assistance alert systems	2190	540	9824	229	12 783	18
1.3.5 – the expected condition of infrastructure	11 799	2911	52 920	1235	68 865	98
1.3.6 – the expected condition of the protective barriers	7585	1871	34 020	794	44 270	63
1.3.7 – the expected condition of assistance alert systems	690	170	3095	72	4028	6
2.1.1 – the height of the infrastructure	—	—	14 925	—	14 925	28
2.1.2 – the accessibility of the infrastructure	3367	—	—	—	3367	28
2.1.3 – the presence of persons/property below the infrastructure	—	—	44 280	—	44 280	82
2.1.4 – the extent of past damages	6104	—	—	—	6104	51
2.1.5 – the hazard zone	9632	2376	43 200	1008	56 216	80
2.1.6 – the frequency of past landslides	—	1735	31 552	736	34 024	58
2.1.7 – the severity of past landslides	—	1723	31 320	731	33 773	58
2.1.8 – the expected frequency of future landslides	—	2228	40 500	945	43 673	75
2.1.9 – the expected severity of future landslides	—	2228	40 500	945	43 673	75
2.1.10 – the land type	4236	—	18 998	—	23 234	35
2.1.11 – the terrain type	3251	802	14 580	340	18 973	27
2.1.12 – the extent of vegetation	722	178	3240	76	4216	6
2.1.14 – the amount of traffic	10 170	2509	45 612	1064	59 355	84
2.1.15 – the amount of hazardous goods traffic	—	—	17 280	—	17 280	32
2.1.16 – the amount of flammable goods traffic affects	—	—	14 252	—	14 252	26
2.2.1 – the budget availability	6863	1693	30 780	718	40 054	57
3.1.1 – the presence of a monitoring strategy	1588	392	7121	166	9267	13
3.1.2 – the presence of a maintenance strategy	5687	1403	25 508	595	33 193	47
3.1.3 – the extent of interventions executed prior to the landslide	9693	2391	43 475	1014	56 574	81
3.2.1 – the presence of an emergency plan	—	2020	—	857	2876	68
3.2.2 – the practicing of the emergency plan affects the ability of the manager to use it when needed, reducing the time for execution	—	936	—	397	1333	32
3.2.3 – the time since the last review/update of the emergency plan affects the likelihood that it will be fit for purpose	—	743	13 500	315	14 558	25
3.2.4 – the expected time for tendering	5418	1337	—	567	7322	45
3.2.5 – the expected time for demolition of damaged infrastructure	3251	802	—	340	4393	27
3.2.6 – the expected time for construction	4575	1129	—	479	6183	38

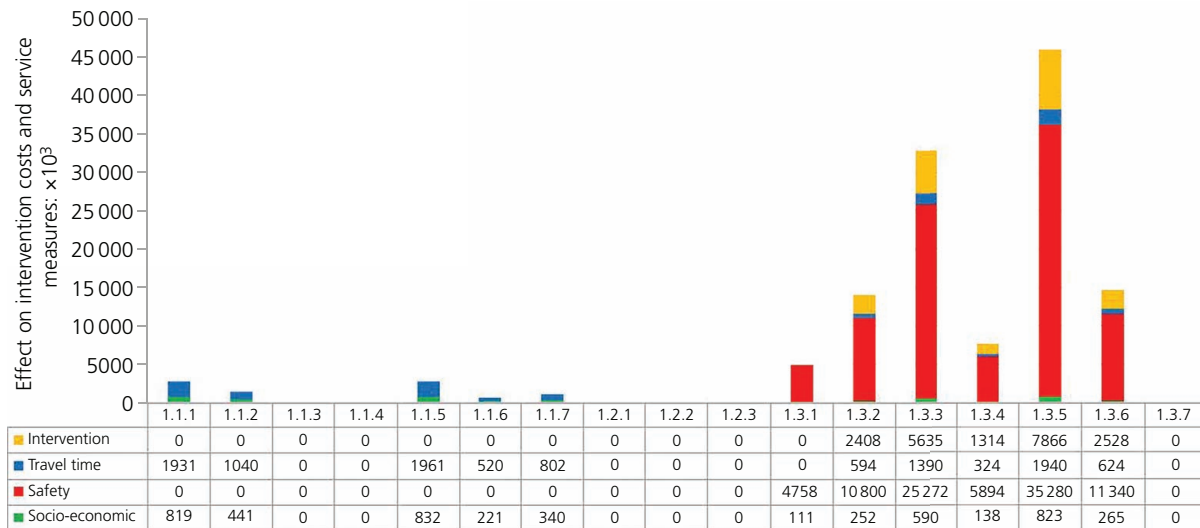
<sup>a</sup> The expected intervention costs and reductions of service due to the indicator having its current values/the maximum expected intervention costs and reductions of service multiplied by 100

the indicators can be reduced even if it is possible. This is discussed in the section headed ‘Targets’.

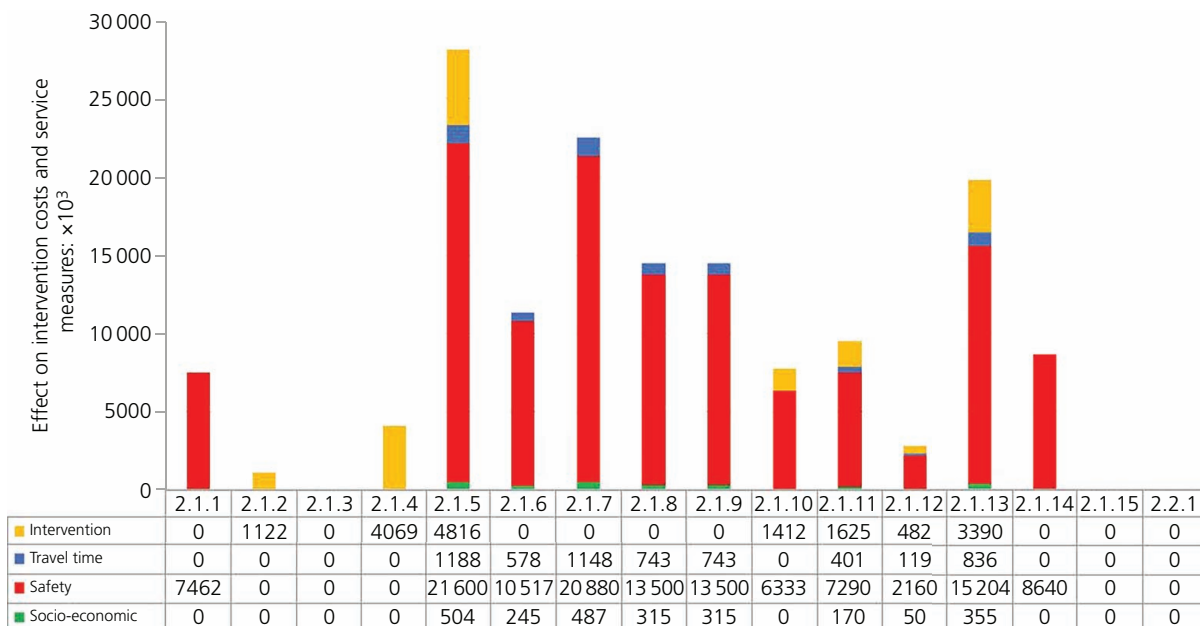
### Measures of resilience for the transport system

The measures of resilience for the whole transport system are shown in Figure 7. The measure of resilience for the intervention costs and all measures of service was €4.8 million – that is, the sum of the expected intervention cost (€0.7 million) and expected

reductions in the travel time, safety and socio-economic measures of service (€0.3, €3.7 and €0.13 million) if the reference landslide occurs. The measures of resilience for the transport system were obtained with the same logic as for the indicator categories explained in the section headed ‘Measures of resilience per indicator category’. For example, the safety measure of resilience was the sum of the actual values of indicators 1.1.1 to 3.2.6 (i.e. 60) divided by the sum of their highest possible values (i.e. 104)



**Figure 2.** Infrastructure: measures of resilience for each indicator, using the actual value of all indicators, by intervention costs and each measure of service



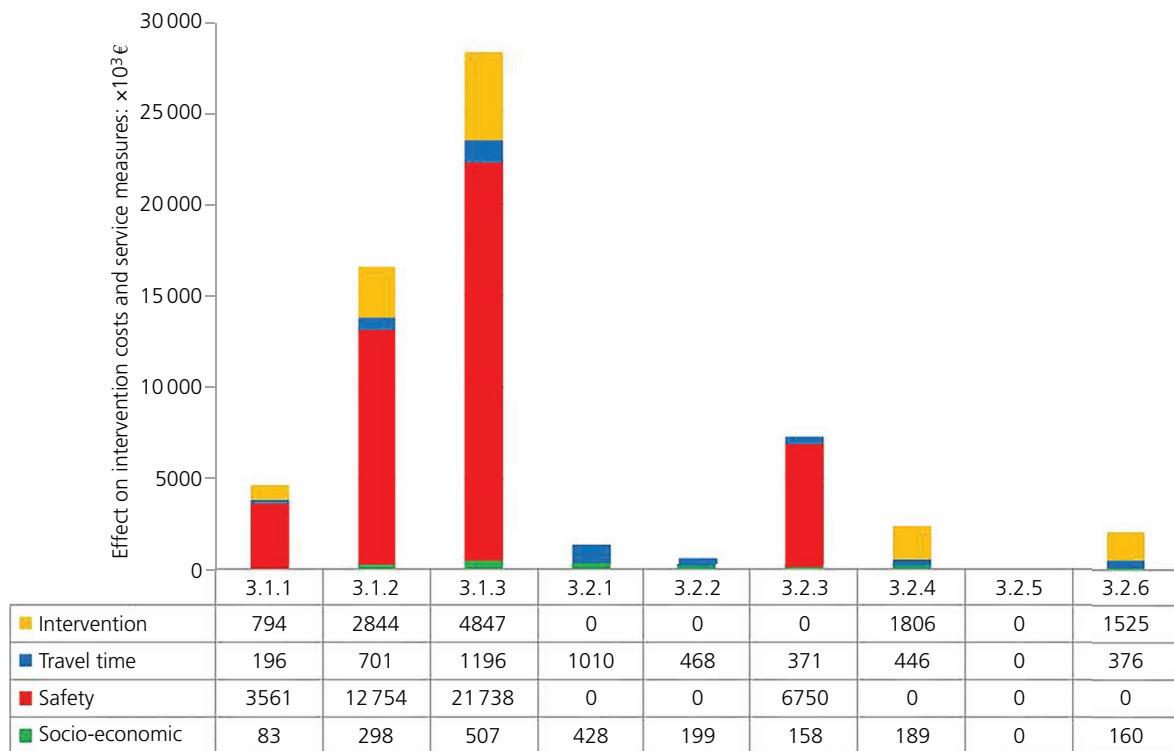
**Figure 3.** Environment: measures of resilience for each indicator, using the actual value of all indicators, by intervention costs and each measure of service

multiplied by the average measures of resilience per indicator (i.e. €7.34 million).

#### Difference between measures of resilience using the worst and actual values of indicators

The differences between the measures of resilience using the worst and actual values of indicators are shown in Figure 8 for the whole transport system and the infrastructure, environment and organisation categories using intervention costs and all

measures of service. Figure 9 shows the resilience indicators for the infrastructure, environment and organisation categories using intervention costs and each measure of service. Figure 10 shows the safety measures of service for the indicator categories condition state, protection measures, preventive measures, physical and non-physical environment and pre- and post-event activities, while Figure 11 show an example of the specific expected condition state of protective barriers indicator (1.3.6). Through these figures, an infrastructure manager obtains an idea

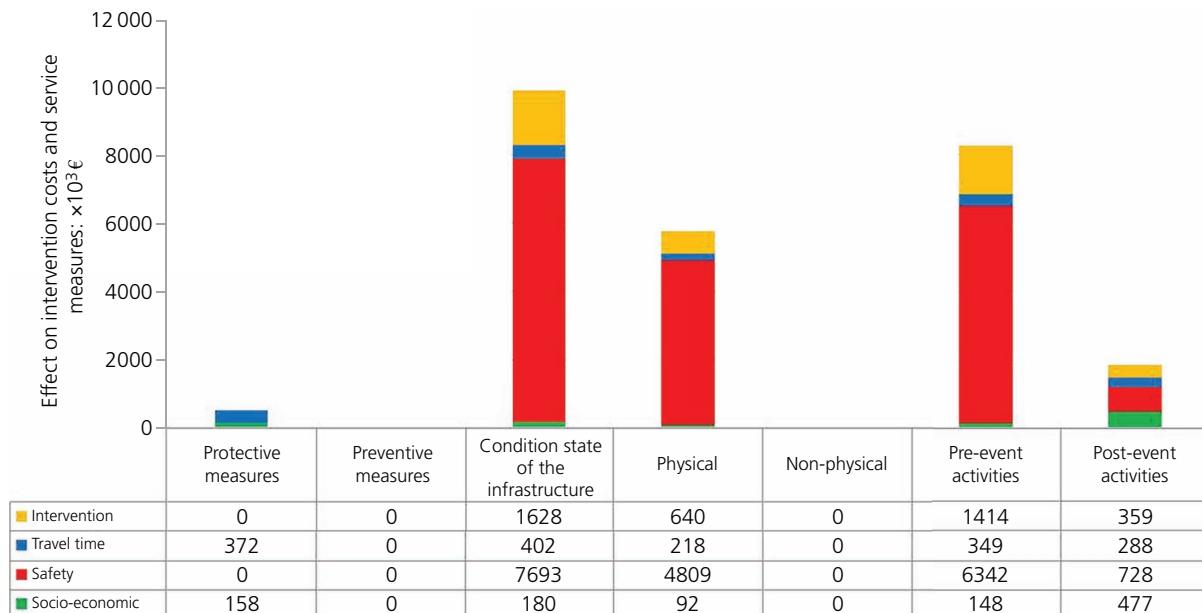


**Figure 4.** Organisation: measures of resilience for each indicator, using the actual value of all indicators, by intervention costs and each measure of service

**Table 12.** Infrastructure: measures of resilience per condition indicator (1.3)

Indicator	Item	Measures of resilience: $\times 10^3$ €				
		Intervention cost	Reductions in service			Total
			Travel time	Safety	Socio-econ.	
1.3.1 – the age/age of replacement of the warning system	Max	Not relevant	Not relevant	14 273	333	14 606
	Actual			4758	111	4869
	Difference			9515	222	9737
<b>1.3.2 – the condition of the infrastructure providing service</b>	<b>Max</b>	<b>12 040</b>	<b>2970</b>	<b>54 000</b>	<b>1260</b>	<b>70 270</b>
	<b>Actual</b>	<b>2408</b>	<b>594</b>	<b>10 800</b>	<b>252</b>	<b>14 054</b>
	<b>Difference</b>	<b>9632</b>	<b>2376</b>	<b>43 200</b>	<b>1008</b>	<b>56 216</b>
1.3.3 – the condition of protection barriers	Max	9391	2317	42 120	983	54 811
	Actual	5635	1390	25 272	590	32 886
	Difference	3756	927	16 848	393	21 924
1.3.4 – the condition of the assistance alert systems	Max	2190	540	9824	229	12 783
	Actual	1314	324	5894	138	7670
	Difference	876	216	3929	92	5113
1.3.5 – the expected condition of infrastructure	Max	11 799	2911	52 920	1235	68 865
	Actual	7866	1940	35 280	823	45 910
	Difference	3933	970	17 640	412	22 955
1.3.6 – the expected condition of the protective barriers	Max	7585	1871	34 020	794	44 270
	Actual	2528	624	11 340	265	14 757
	Difference	5057	1247	22 680	529	29 513
1.3.7 – the expected condition of assistance alert systems	Max	690	170	3095	72	4028
	Actual	0	0	0	0	0
	Difference	690	170	3095	72	4028
Total	Max	43 696	10 779	210 252	4906	269 633
	Actual	19 751	4872	93 344	2178	120 146
	Difference	23 945	5907	116 908	2728	149 487

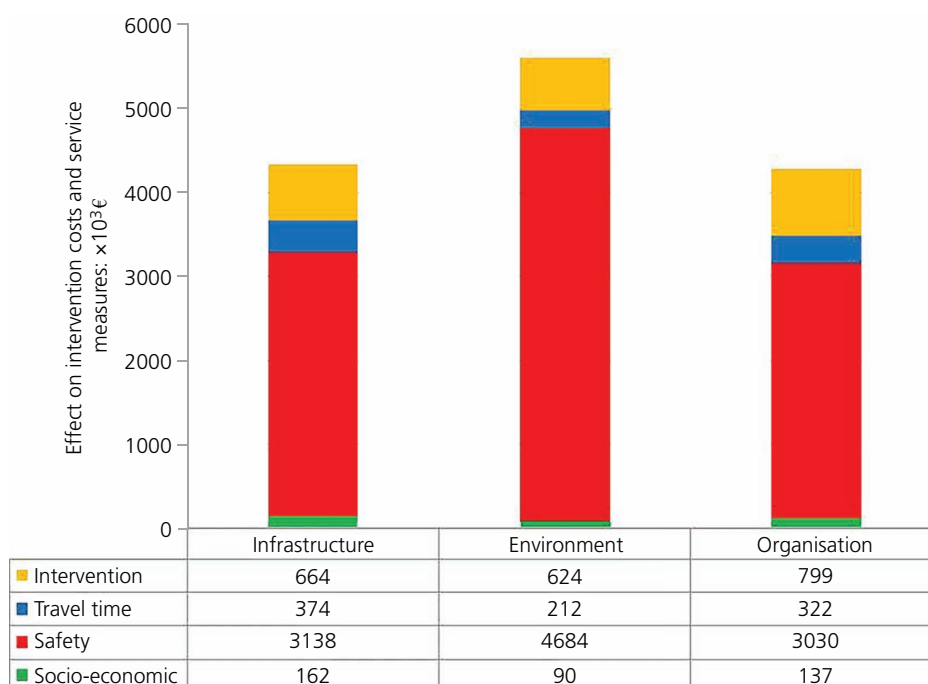
Bold text is the example indicator used to illustrate the computations for measuring the uncertainty in the text at p. 5 from line 37



**Figure 5.** Measures of resilience for the condition state, protection measures, preventive measures, physical and non-physical environment and pre- and post-event activity indicator categories

of how much better and how much worse resilience can be. For example, although the measure of resilience of the transport system is €4.8 million (Figure 8), which is arguably a high number, it is less than half of what it could be – that is, €14.4 million. Although alone this might not be even much information,

it would be very useful if it is used to track resilience over time. It can also be seen quickly where little or no additional improvements in resilience can be achieved. For example, the protective measures indicator category (Figure 10) is not relevant with respect to safety so if safety is of concern, no improvements



**Figure 6.** Measures of resilience for the infrastructure, environment and organisation indicator categories

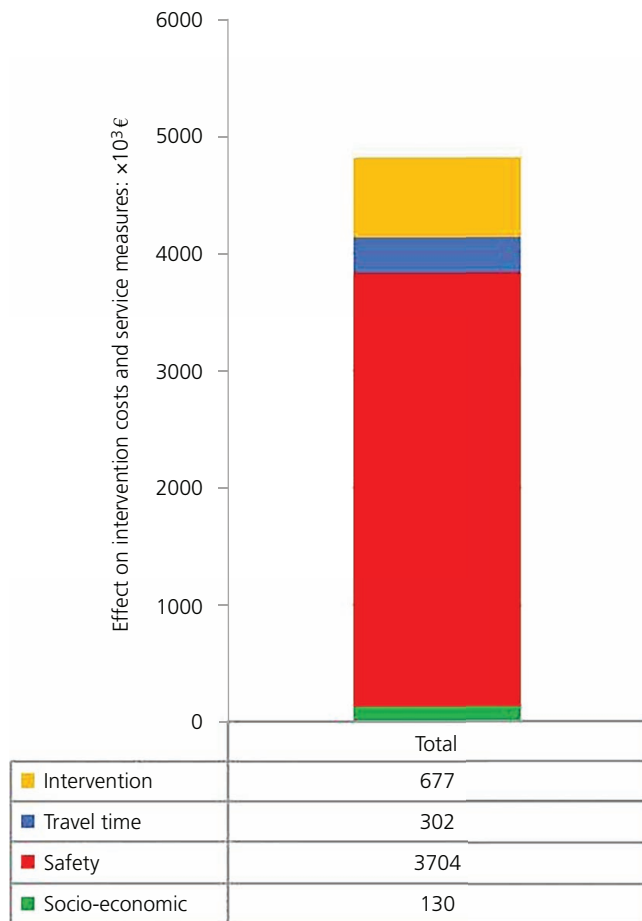


Figure 7. Measures of resilience for the transport system

are possible through the improvements of these measures. Moreover, improvements are not possible by improving the values of the preventive measures indicators, as they all already have their best values. In contrast, improvements are possible by improving the values of the indicators, such as the expected condition state of protective barriers indicator (Figure 12).

### Summary

The resilience of the transport system is relatively good (€4.8 million compared with the maximum possible value of €14.4 million (only 33.3%)). The greatest contributor to the €4.8 million is the environment, followed by the organisation and the infrastructure, with measures of resilience of €5.6, €4.34 and €4.3 million. This is mainly due to the fact that, for the example, the infrastructure is assumed to be out of service and the protection barriers moderately likely collapsed following the occurrence of a reference landslide. Although both the infrastructure and the barriers are designed to withstand reference landslides, they are still expected to be severely damaged if the landslides occur, and consequently, significant repair or even a replacement is likely to be required.

These facts can be clearly seen by looking closely at the indicator categories and indicators themselves. Looking at the indicator categories, it can be seen that the greatest contributors in terms of indicator categories are the infrastructure condition indicators, the pre-event activity indicators and the physical environment indicators, with measures of resilience of €9.9, €8.3 and €5.8 million, respectively. Looking at the specific indicators, the greatest contributors are the expected condition of infrastructure (1.3.5), €46 million; the condition of protection barriers (1.3.3), €33 million; the extent of interventions executed prior to the landslide (3.1.3), €28.3 million; and the hazard zone (2.1.5), €28.1 million.

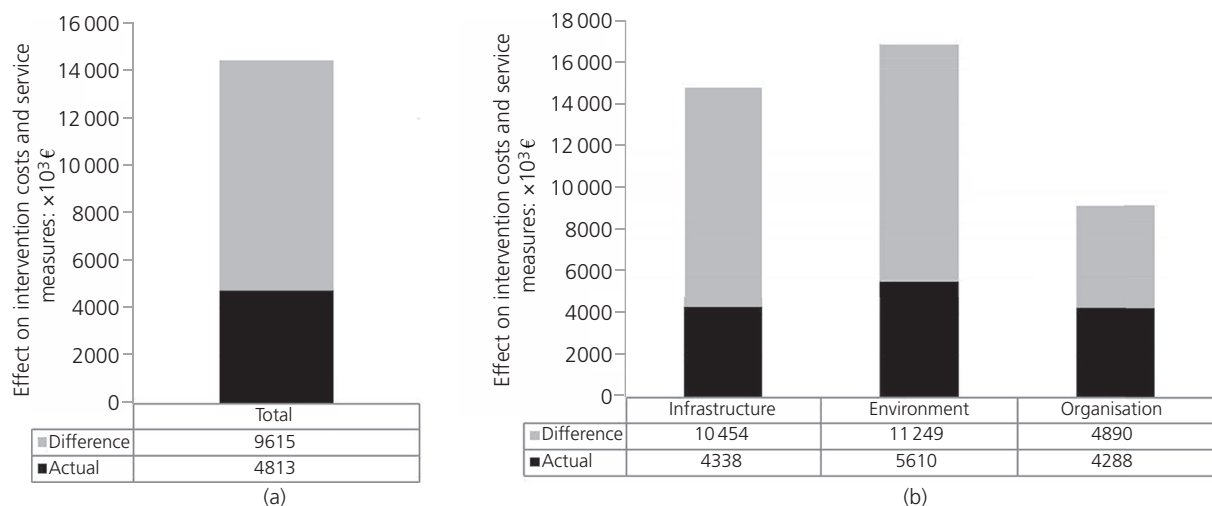
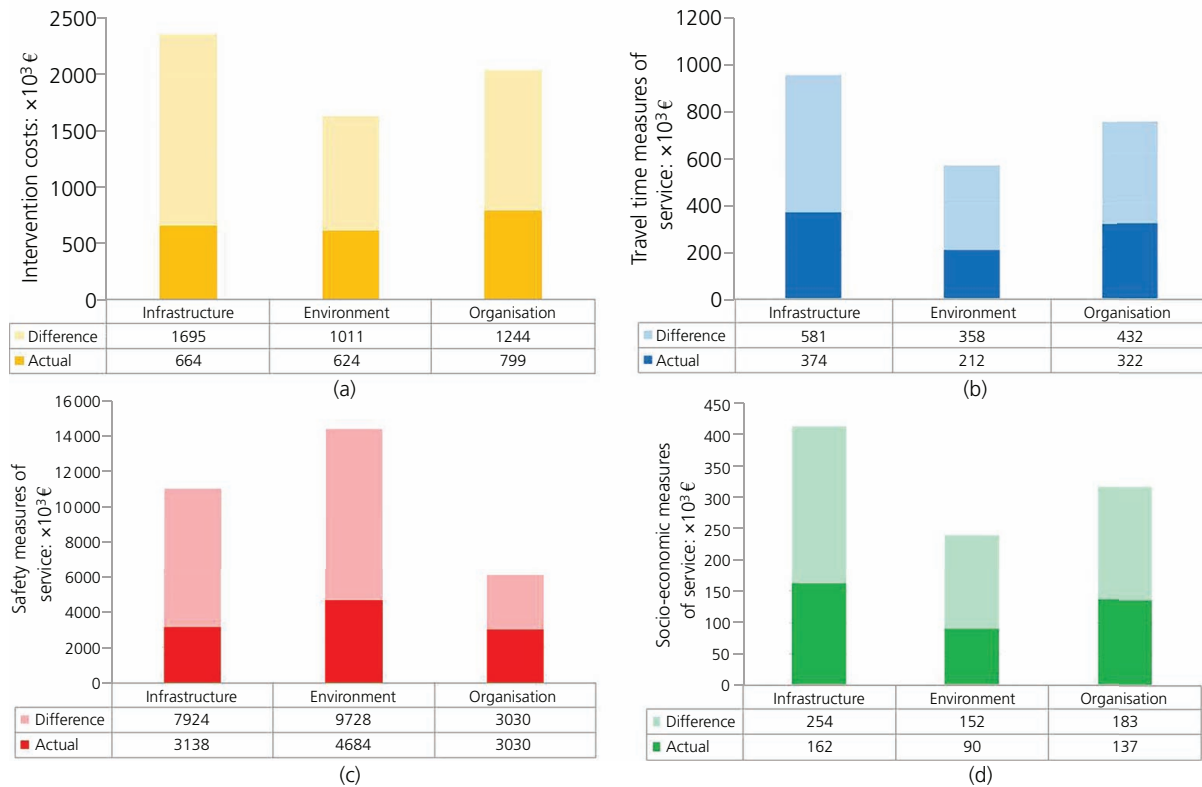


Figure 8. Difference between measures of resilience for (a) the transport system and (b) the infrastructure, environment and organisation categories

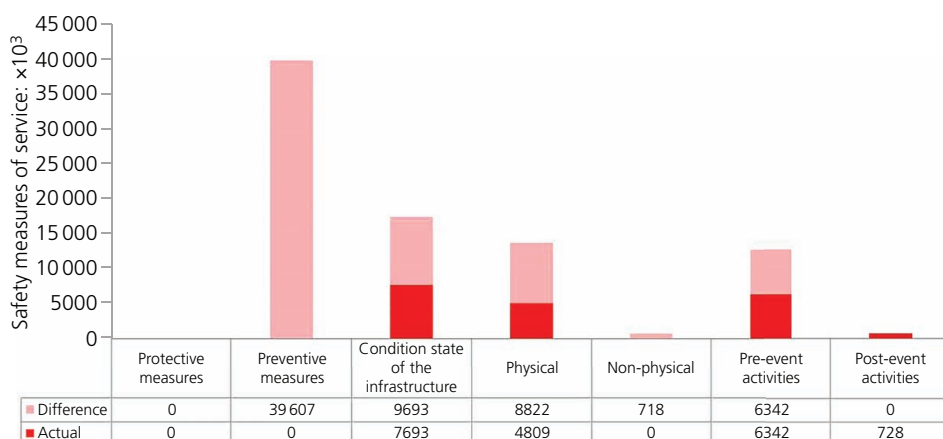




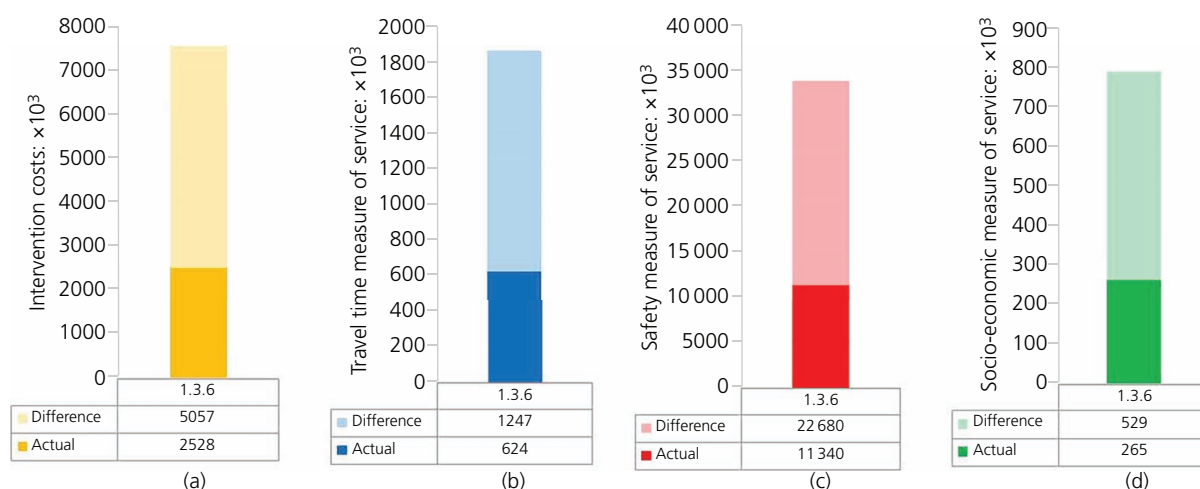
**Figure 9.** Difference between measures of resilience for the infrastructure, environment and organisation categories using only (a) intervention costs, (b) the travel time measure of service, (c) the safety measure of service and (d) the socio-economic measure of service

With the goal of improving resilience – that is, decreasing the measure of resilience for the transport system – the infrastructure manager should focus his attention in improving the values of the aforementioned indicators. It should be kept in mind from the beginning on, though, that some of these are relatively easy to modify – that is, the expected condition of infrastructure (1.3.5), currently 1/3; the condition of the protection barriers (1.3.3),

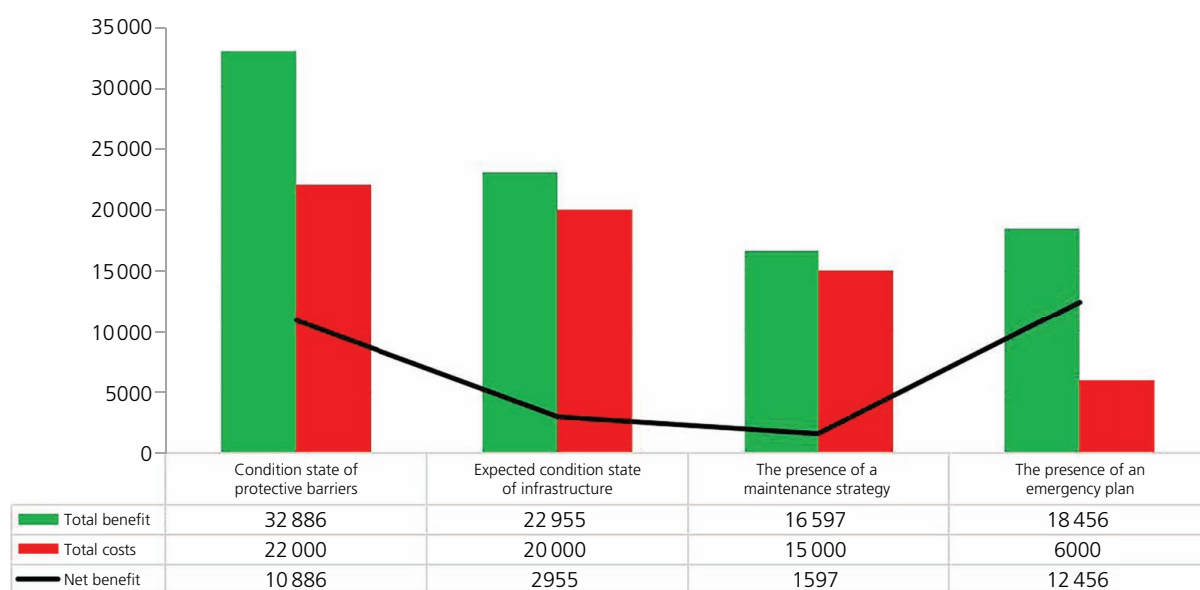
currently 2/5; and the extent of interventions executed prior to the landslide (3.1.3), currently 1/2 – and another that is impossible to modify – that is, the hazard zone of the infrastructure (2.1.5). Once clarity is achieved on the measures of resilience, the infrastructure manager can proceed to setting targets on the values of the indicators taking into consideration the ease with which values can be improved.



**Figure 10.** Difference between measures of resilience for the indicator categories condition state, protection measures, preventive measures, physical and non-physical environment and pre- and post-event activities



**Figure 11.** Difference between measures of resilience for the indicator expected condition state of protective barriers (1.3.6): (a) intervention costs; (b) travel time measure of service; (c) safety measure of service and (d) socio-economic measure of service



**Figure 12.** Total benefit, total costs and net benefit to align the current four indicators out of target to their targets

## Targets

The resilience indicator targets for the example infrastructure were set for the indicators that were considered to be in the control of the infrastructure manager (31 out of the 42). In general, the infrastructure manager should first identify both the legal requirements and his own, as well as the owners' requirements – that is, the things that they empirically know had to be done. He then systematically estimated the approximate costs and benefits of improving the values of each of the indicators, with respect to the likely restoration costs and the likely reductions in service with respect to the reference landslide. Finally, he then selected the target values that were likely to give the maximum net benefit while satisfying all of the requirements. Each of these steps is

explained in the following sections in more detail, although in this example, it was considered that no requirements – that is, neither legal nor stakeholders' requirements – bounded the decision. Thus, the process to set the targets starts directly with the estimate of the net benefit.

## Net benefit

Beyond the requirements for the indicator values, the targets were determined using incremental cost–benefit analysis – that is, for each indicator estimating the approximate net benefit from the lowest acceptable level to the level where the incremental net benefit of a further increase is negative (which is equivalent to the benefit/cost ratio (B/C) being less than 1.0). An example of how

**Table 13.** Setting targets based on net benefit for the condition state of the protective barriers

Possible value	Costs: $\times 10^3$ €	Target	Max per value	Measures of resilience: $\times 10^3$ €					B/C	Net benefit: $\times 10^3$ €
				Avoided intervention costs	Avoided reductions in service					
					Travel time	Safety	Socio-econ.	Total		
			Max	9391	2317	42 120	983	54 811	N/A	N/A
0	0	5	0	0	0	0	0	0	0.00	0
1	3000		1	1878	463	8424	197	10 962	3.65	7962
2	5000		2	1878	463	8424	197	10 962	2.19	5962
3	5000		3	1878	463	8424	197	10 962	2.19	5962
4	7000		4	1878	463	8424	197	10 962	1.57	3962
5	10 000		5	1878	463	8424	197	10 962	1.10	962

In italic is marked the Max impact on the intervention costs and services, from which all the benefits (in the following rows) are derived  
NA, not available

this was done using the condition of the protective barriers is shown in Table 13, where the following were applied.

- The indicator was first assumed to have its worst possible value (0) and the likely intervention costs and reductions in service (€54.8 million) that would follow the occurrence of the reference landslide were estimated (listed as the maximum values for the intervention costs (€9.4 million) and the reductions in service (€2.3 million – travel time; €42 million – safety; and €1 million – socio-economic)).
- The cost of improving the value of the indicator by one unit and the expected benefit in terms of avoided intervention costs, and reductions in service, were then estimated, incrementally, assuming that the indicator had values of 1, 2, 3, 4 and 5. For example, the cost of moving the value of the condition of the protective barriers indicator from 1 to 2 was estimated in €5 million and the expected avoided intervention costs and reductions in service in €11 million, yielding a net benefit of €14 million and a B/C of 2.19, which indicated that the target should be moved to 2 from 1. The costs of improvement of the value of this indicator were assumed to increase non-linearly, while the reductions in service were assumed to increase linearly.
- The target for the indicator was selected as the last value before the incremental net benefit became negative or the highest value possible, which in this case was 5, and 5 was above the legal requirement of 2.

Following this logic, targets were set for 31 resilience indicators out of the 42 presented in Tables 6–8 – that is, 11 of the 42 indicators of the transport system have no targets. This is because they refer to situations that cannot be modified by the infrastructure manager (e.g. hazard zone), and therefore, no target can be set on these. The targets for all 31 indicators are given in Table 14.

In Table 14, it can be seen that only four indicators have actual values below the target values – that is, the condition state of protective barriers indicator (1.3.3), the expected condition state of infrastructure indicator (1.3.5), the presence of a maintenance strategy indicator (3.1.2) and the presence of an emergency plan

indicator (3.2.1). Of these four indicators (Figure 12), it seems that the greatest net benefit (€12.5 million) would be developing and improving the operative emergency plan – that is, replacing the current generic emergency plan with one where specific tasks, resources and responsibilities are defined. The second best would be improving the condition state of the protective barriers (€10.9 million) – that is, replacing the deteriorated nets and piles. The third would be achieved by improving the expected condition of the infrastructure following the occurrence of the reference landslide event (€3 million) – that is, reinforcing the pillars and girders of the bridges that are currently expected to have significant damage when affected by the reference landslide (e.g. as the bridge that was moved away by the landslide of 7 March 2005). The fourth would be improving the maintenance strategy (€1.6 million) to ensure solid preventive maintenance throughout the whole infrastructure. This means that if only one thing can be done, developing an operative emergency plan should be prioritised, requiring €6 million. If all are to be done, approximately €63 million will be required.

### Summary

The targets have been set for 31 out of the 42 resilience indicators, while for the 11 indicators that the infrastructure manager has no power to modify, no target has been set. Out of the 31 targets set, only four indicators currently have a value that is below the target value: the condition state of protective barriers indicator, the expected condition state of infrastructure indicator, the presence of a maintenance strategy indicator and the presence of an emergency plan indicator. Moving these indicators from their current values to the targets is expected to provide a relatively large total benefit (indicated here to be on the order of €91 million) and is expected to cost on the order of €63 million. Although more exact numbers would require more detailed analysis, these give a good idea that it is worthwhile to undertake the efforts – that is, reinforce the bridges that are currently expected to have significant damages when affected by the reference landslide, replace the deteriorated protection barriers, develop maintenance strategies for all assets on the highway and develop an operative emergency plan to be followed in the case of a landslide.

**Table 14.** Targets proposed for the 31 resilience indicators considered to be in the control of the infrastructure manager

ID	Indicator	Scale	Actual value	Target value	Costs to reach target: $\times 10^3$ €	Benefit of reaching target: $\times 10^3$ €	B/C	Net benefit of reaching: $\times 10^3$ €
1.1.1	The possibility of building a temporary alternative route for vehicles	2	0	<b>0</b>	0	0	0.00	0
1.1.2	The possibility of using another means to satisfy the transport demand	2	1	<b>1</b>	1200	1481	1.23	281
1.1.3	The number of possible existing alternative ways to deviate vehicles	1	1	<b>0</b>	0	0	0.00	0
1.1.4	The presence of a warning system	2	2	<b>2</b>	2500	3046	1.02	546
1.1.5	The presence of a safe shutdown system	1	0	<b>0</b>	0	0	0.00	0
1.1.6	The presence of emergency/evacuation paths	2	1	<b>1</b>	0	0	0.00	0
1.1.7	The presence of special measures to help evacuate persons	2	0	<b>0</b>	0	0	0.00	0
1.2.1	Compliance with the current slope stability design code	2	2	<b>1</b>	0	0	0.00	0
1.2.2	Presence of protection barriers	1	1	<b>0</b>	0	0	0.00	0
1.2.3	Adequate protection barriers	1	1	<b>1</b>	2000	43 567	21.78	41 567
1.3.1	Age/age of replacement of the warning system	3	2	<b>0</b>	0	0	0.00	0
1.3.2	Condition of infrastructure	5	4	<b>3</b>	0	0	0.00	0
1.3.3	Condition of protective barriers	5	<b>2</b>	<b>5</b>	30 000	54 811	1.10	24 811
1.3.4	Condition of assistance alert systems	5	2	<b>1</b>	2500	2557	1.02	57
1.3.5	Expected condition of infrastructure	3	<b>1</b>	<b>2</b>	35 000	45 910	1.15	10 910
1.3.6	Expected condition of protective barriers	3	2	<b>0</b>	0	0	0.00	0
1.3.7	Expected condition of assistance alert systems	2	2	<b>0</b>	0	0	0.00	0
2.1.12	Extent of vegetation cover	3	1	<b>0</b>	0	0	0.00	0
2.1.13	Traffic	3	2	<b>0</b>	0	0	0.00	0
2.1.14	Hazards goods traffic	2	1	<b>0</b>	0	0	0.00	0
2.1.15	Flammable goods traffic	1	1	<b>0</b>	0	0	0.00	0
2.2.1	Budget availability	2	2	<b>1</b>	20 000	20 027	1.00	27
3.1.1	The presence of a monitoring strategy	2	1	<b>0</b>	0	0	0.00	0
3.1.2	The presence of an maintenance strategy	2	<b>1</b>	<b>2</b>	25 000	33 193	1.11	8193
3.1.3	The extent of interventions executed prior to the event	2	1	<b>1</b>	20 000	28 287	1.41	8287
3.2.1	The presence of an emergency plan	2	<b>1</b>	<b>2</b>	9000	36 912	3.08	27 912
3.2.2	Practice of the emergency plan	4	2	<b>1</b>	3000	3021	1.01	21
3.2.3	Review/update of the emergency plan	2	1	<b>1</b>	5000	9268	1.85	4268
3.2.4	Expected time for tendering	3	2	<b>2</b>	14 000	23 175	1.05	9175
3.2.5	Expected time for demolition	3	3	<b>3</b>	520	2929	4.58	3773
3.2.6	Expected time for construction	3	2	<b>1</b>	10 000	14 177	1.42	4177

Bold was used to mark the target column, i.e. the most important point of the table, then the bold+italic to mark the “actual values” that are lower than the targets

## Conclusion

In this paper, it is shown that the Foresee guidelines (Adey *et al.*, 2021) provide a systematic way for infrastructure managers to obtain an idea of the resilience of their transport systems and an idea of how to set resilience targets, when infrastructure managers want to assess resilience but do not yet know where to concentrate their efforts. It is also shown that for some resilience-enhancing actions, these initial results are perhaps sufficient to take action, whereas others point to where more investigation is required, which is part of the iterative process that all infrastructure managers should be following in risk assessment (Adey *et al.*, 2016).

The use of the guideline helps ensure that infrastructure managers define service and resilience clearly and consistently and that they are systematically considered when evaluating the resilience of the transport system, as well as obtaining an idea of how to improve resilience. The example shows that this is possible, with relatively little input and effort. Of course, if the results of such an analysis are not sufficient to plan risk-reducing interventions, they can also be used to focus on more detailed future analysis.

Future work should be focused on developing more examples with different types of infrastructure, different types of hazards and different organisations. This work could lead to organisations

to develop more specific guidelines as to how they would like to measure service and resilience to enable them to make the best decisions possible. It may also lead to the development of country- or region-specific guidelines that would allow the fair comparison of the resilience of multiple transport systems, which would aid in the efficient distribution of limited resources. Additionally, future work should focus on investigating the accuracy of using resilience indicators when compared with results that come from detailed analysis. It is anticipated that in the framework of the Foresee project, simulations using real data will be run to demonstrate the applicability of the guidelines.

### Disclaimer

The work presented in this paper is a mere exercise, for which the vast majority of inputs have been set based on authors' assumptions – that is, the inputs are realistic but fictive and as such do not reflect the current situation of the highway chosen for the present application. Therefore, the results cannot be in any way connected to the actual resilience of the real transport infrastructure. For a real assessment of the resilience of the infrastructure, the current inputs should be replaced with the

actual data on the highway and relevant indicators considered. It is expected to conduct such simulation in the framework of the Foresee project to demonstrate the applicability of the guidelines.

### Acknowledgements

This work has received funding from the EU Horizon 2020 research and innovation programme under grant agreement number 769373 (Foresee project). This paper reflects only the authors' views. The European Commission and Innovation and Networks Executive Agency are not responsible for any use that may be made of the information contained therein.

### REFERENCES

- Adey BT, Hackl J, Lam JC *et al.* (2016) Ensuring acceptable levels of infrastructure related risks due to natural hazards with emphasis on stress tests. *Proceedings of the 1st International Symposium on Infrastructure Asset Management (SIAM)*, Kyoto, Japan.
- Adey BT, Martani C, Kielhauser C *et al.* (2021) Estimating, and setting targets for, the resilience of transport infrastructure. *Infrastructure Asset Management*, in press.
- Wikipedia (2021) *Autostrada A16 (Italia)*. Wikimedia Foundation, Inc., San Francisco, CA, USA (in Italian). See [https://it.wikipedia.org/wiki/Autostrada\\_A16\\_\(Italia\)](https://it.wikipedia.org/wiki/Autostrada_A16_(Italia)) (accessed 16/03/2021).

### How can you contribute?

To discuss this paper, please submit up to 500 words to the editor at [journals@ice.org.uk](mailto:journals@ice.org.uk). Your contribution will be forwarded to the author(s) for a reply and, if considered appropriate by the editorial board, it will be published as a discussion in a future issue of the journal.